

**CAROL M. STONER, ESQ.**  
**State of Ohio**

**EMPLOYMENT/WORK EXPERIENCE:**

**National Association of Securities Dealers (NASD) - 1996 through present.**

Arbitrate, and sometimes chair, participatory hearings regarding customer/broker issues as suitability and inappropriate trading; and also legal issues between brokerage houses.

**National Arbitration Forum - 1999 through present.**

Arbitrator for desk, document and participatory hearings; for select national panel for motions and discovery disputes; and for ICANN Domain Name Dispute Panel.

**Law Offices of Carol M. Stoner - Hoboken, NJ 1987 to 2003**

Intellectual property litigation, including defense and prosecution of trademark and copyright infringement in Federal Court, in New Jersey and New York. Transactional work as draft trademark applications, amendments assignment and renewals. Serve as expert witness on trademark infringement. Trade secrets and licensing law. Entertainment law.

Commercial litigation. Buy and sale of business. Draft and interpret contracts. Formation, dissolution, and mergers of corporation. Draft and negotiate shareholder agreements, partnership agreements and commercial leases.

Consumer fraud law, probate, including validity of will, some malpractice, and real estate law.

Founder and Director of Intellectual Property Institute, Inc. Hoboken, NJ 1996 to 2000.

**Dickson, Creighton & Lowenstein, PA - Hoboken NJ 1987**

Part-time counsel. Commercial and banking law, including drafting consumer loan documents. Probate and estate, intellectual property and real estate law.

**Blumberg Inc. NYC 1985-1990**

Provided legal services to nationwide clientele of law firms.

**Citizens Utilities Company - Stamford, Connecticut 1981-1984**

Utilities and regulatory law, including drafting documents and serving as expert witness

**Cincinnati Bell - Cincinnati, Ohio 1972-1981**

Award winning writer; rate regulation during rate increase hearings; and labor relations during period of strike. Promoted to Assistant Secretary, of 5000 employee corporation, responsible for Investor Relations, Annual Meeting including Inspector of Elections and acting as Transfer Agent for 20,000 stockholders.

## **ACADEMIC/FACULTY:**

**New York University - Management Institute NYC 1991 to 1995**  
Adjunct Professor. "Business Law for Business People".

**Fairleigh Dickinson University - School of Business - Madison NJ 1994**  
Adjunct Professor. "Innovation, Creativity & Entrepreneurship".

## **EDUCATION:**

**Salmon P. Chase College of Law - Juris Doctorate**

Partial academic scholarship. VP Freshman Class.  
Admitted to Ohio Bar; Fed. Bar - SD - Oh; New Jersey Bar; Fed. Bar - District of New Jersey; and Fed. Bar - SD of New York.

**Xavier University - Cincinnati, Ohio**

Awarded Master of Business Degree. Master thesis on five year plan for transforming community weekly newspaper to metropolitan daily.

**University of Dayton - Dayton Ohio**

Awarded Bachelor of Science Degree in Business Administration. Major in Marketing and concentration in journalism. Part-time jobs as advertising copy clerk at Dayton Daily News/Journal Herald, and PR Assistant in City of Dayton Mayor's office. Honors/Activities: Several scholarships. Editor and founder of college business newspaper. Member, Board of Directors, UD Human Relation Board. President of 100 member student marketing society. Voted by faculty as "Outstanding Jr., Marketing Major" from class of 200.

## **OTHER AWARDS/HONORS:**

President of Hoboken Bar Association - Hoboken, New Jersey - 1993  
Lecturer on business law and intellectual property, many different venues.

## **HOBBIES/OTHER:**

Sailboat racing and cruising.  
Attended week-long Antioch Writer's Workshop in 2003 and 2005.

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**Arbitration and Mediation Experience and Credentials**

03/1996	<b>Seton Hall University - Institute for Dispute Resolution</b>	40.0 hrs.
08/1996	<b>Appointed Member NASD Board of Arbitrators</b>	
09/1996	<b>NASD Securities Arbitration Training</b>	6.5 hrs.
1996 to Present	Arbitrate, and sometimes chair, <b>NASD</b> participatory hearings with customer/ broker issues as suitability and inappropriate trading; and legal issues between houses.	
1997	<b>US District Court-District of New Jersey.</b> Arbitrated Federal Cases.	
04/1998	<b>Appointed as Arbitrator for American Stock Exchange</b>	
1998	<b>Appointed as Member, Arbitration Selection Committee, Hudson Cty. Bar Assn.</b>	
1998-2003	<b>Hudson County Superior Court - New Jersey</b> Arbitrated civil cases including personal injury, commercial and real estate.	
06/1998	<b>Mediation Training Seminar, NJ Atty. Gen. Office</b>	21.0 hrs.
10/1998-3/1999	<b>Mediated about 40 cases for NJ Attorney General's Office</b>	
12/1998	<b>Appointed Mediator for US Bankruptcy Court</b>	
02/1999-2003	<b>Mediator New Jersey Statewide Mediation Program</b> for Civil, General Equity and Probate Cases.	
06/1999 to Present.	Arbitrator for <b>National Arbitrator Forum.</b> Desk, document and participatory hearings; select national panel for motions and discovery disputes; and for ICANN Domain Name Dispute Panel.	
07/1999	<b>Chairperson Training - NASD Regulation</b>	4.0 hrs.
07/99- 2000	<b>Chair of Arbitration Committee</b> deciding NJ/NY & CT consumer cases against <b>Ford Motor Company</b> , administered by DeMars Associates.	
11/2002	<b>New Jersey Supreme Court Civil Mediation Training</b>	8.0 hrs.
03/2005	<b>NASD Expungement Course</b>	1.0 hrs.